¹³C NMR and *ab Initio*/IGLO Study of Protonated Oxocarbons: Poly-O-protonated Squaric, Croconic, and Rhodizonic Acids and Their Aromatic Nature. Preparation and Study of Tetrahydroxy- and Diaminodihydroxyethene Dications¹

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Abstract: The protonation of oxocarbons in superacidic solutions has been studied. Squaric acid (3,4-dihydroxy-3cyclobutene-1,2-dione, 2), croconic acid (4,5-dihydroxy-4-cyclopentene-1,2,3-trione, 3), and rhodizonic acid (5,6dihydroxy-5-cyclohexene-1,2,3,4-tetraone, 4) were poly-O-protonated with Magic Acid (1:1 SbF₅ + FSO₃H) or fluorosulfuric acid at low temperatures and characterized by ¹³C NMR spectroscopy. The protonation of oxalic acid and oxamide has also been studied in HSO₃F/SbF₅/SO₂ solution at -78 °C and the resulting ethene dications were characterized by ¹³C NMR spectroscopy. Dimethyl oxalate was also methylated under stable-ion conditions with CH₃F/SbF₅ in SO₂ solution but gave only the corresponding monomethylated cation. Comparison of experimental with ab initio/IGLO calculated ¹³C NMR chemical shifts gives insight into the nature of the protonated oxocarbons. Similar comparisons in the studied ethene dications show their preferred planar geometry.

Introduction

The term "oxocarbon", first suggested by West in 1963, designates compounds in which all carbon atoms are bonded to carbonyl or enolic oxygens or their hydrated or deprotonated equivalents.² Cyclic oxocarbon anions were recognized as aromatic systems, stabilized by delocalization of π -electrons.³ 2,3-Dihydroxy-2-cyclopropenone (deltic acid, 1), 3,4-dihydroxy-3-cyclobutene-1,2-dione (squaric acid, 2), 4,5-dihydroxy-4cyclopentene-1,2,3-trione (croconic acid, 3), and 5,6-dihydroxy-5-cyclohexene-1,2,3,4-tetrone (rhodizonic acid, 4) are thus considered to be acids. Indeed, 2 was found to be a remarkably strong acid, having a pK value close to that of sulfuric acid.⁴



Mono-O-protonated deltic acid (1), di-O-protonated squaric acid (2), tri-O-protonated croconic acid (3), and tetra-Oprotonated rhodizonic acid (4) would formally be Hückeloid [4n + 2] aromatic systems with significant delocalization of the positive charge from the oxygen into the ring. Lien and Hopkinson have calculated the structure and energetics of mono-O-protonated deltic acid (1) at the HF/3-21G level and found aromatic trihydroxy cyclopropenium structure.⁵ Poly-O-protonated polycations can also be considered as homoaromatic species.⁶

Theoretical study of the doubly ionized ethene dication $C_2H_4^{2+}$ has shown the global energy minimum species to be perpendicular ethene dication.⁷ The planar form of $C_2H_4^{2+}$ is a transition state for the rotation around the C-C bond, and the rotational barrier for the process is 28.1 kcal/mol. Bock et al. have found by X-ray

diffraction that the chloro and bromo salts of tetrakis(dimethylamino)ethene dications have perpendicular geometry.⁸ The planar (D_{2h}) geometry for substituted ethene dications was calculated for the $C_2F_4^{2+}$ dication.⁹ This result has been explained by back-donation of the fluorine lone-pair electrons into the formally empty carbon p-orbitals. Recently, Frenking studied the structure of substituted ethene dications¹⁰ by theoretical calculations using ab initio quantum theoretical methods. His calculations indicated that the doubly charged, substituted ethene dications $C_2(OH)_4^{2+}$ and $C_2(OH)_2(NH_2)_2^{2+}$ should have planar geometries.

In continuation of our studies on carbocations and onium ions, we report now the poly-O-protonation of squaric, croconic, rhodizonic, and oxalic acids under superacidic conditions. Furthermore, diprotonation of oxamide was also explored. The

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resulting carboxonium ions were characterized by ¹³C NMR spectroscopy. The geometries and ¹³C NMR chemical shifts of these polyprotonated systems were also investigated by highlevel *ab initio*/IGLO (Individual Gauge for Localized Orbitals) methods. Recently the *ab initio*/IGLO method has become a very powerful tool for structure elucidation of carbocations.^{11,12} By comparison of the calculated IGLO ¹³C NMR chemical shifts with the experimentally observed data, structural aspects of the studied polycations can be better inferred.

Results and Discussion

Di-O-protonated Squaric Acid (5). Olah et al. studied originally the protonation of squaric acid in Magic Acid $(FSO_3H/SbF_5)/SO_2$ solution by ¹H NMR spectroscopy.¹³ The results were inconclusive, and the observed deshielded NMR shift was interpreted as an equilibrating protonated system but not a stabilized cyclobutadiene dication system.

We carried out now the preparation and characterization of di-O-protonated squaric acid (5) in the even stronger, superacidic, $FSO_3H/SbF_5/SO_2ClF$ system. When a saturated solution of FSO_3H/SbF_5 in SO_2ClF was added with vigorous stirring at -78 °C to a suspension of squaric acid 2 in SO_2ClF , a solution of 5 was obtained. The ¹³C NMR (75 MHz) spectrum of the solution consists of a single sharp peak at a chemical shift of δ 183.4 and shows no temperature dependence.

The data indicate the equivalency of the carbon atoms. The possible predominant resonance forms of diprotonated squaric acid are depicted as 5a-c. Besides planar 2π -aromatic structure 5c, we also considered carboxonium ion structures 5b as well as puckered aromatic and homoaromatic structures 5a.



Schleyer et al. calculated the structure of the parent cyclobutadiene dication and found that a puckered structure with a D_{2d} geometry is a minimum.¹⁴ Schleyer et al. later confirmed¹⁵ that the preferred geometry for tetramethylcyclobutadiene dication is also puckered by comparing the IGLO calculated ¹³C chemical shifts with the experimental ¹³C chemical shift values. We calculated the puckered structure 5a with D_{2d} geometry at the HF/6-31G* level but could not find an energy minimum for it.

When we optimized the planar structures **5b** (E = -452.47374 hartrees) and **5c** (E = -452.48089 hartrees), with $C_{2\nu}$ and C_{4h} symmetry, respectively, at the HF/6-31G* level, we found the latter to be more stable by 4.5 kcal/mol. IGLO calculation of the planar form **5c** at the II//HF/6-31G* level gave a chemical shift of $\delta(^{13}C)$ 186.4, in good agreement with the observed experimental value of $\delta(^{13}C)$ 183.4, indicating the predominant planar tetrahydroxycyclobutadiene dication nature of **5c**. IGLO calculation on **5b** at the II//HF/6-31G* level gave an average chemical shift at $\delta(^{13}C)$ 187.1, close to the calculated chemical shift of **5c**. However, energetically this species is less stable than **5b** and consequently may make only a relatively minor contribution to the overall structure.

Tri-O-protonated Croconic Acid (6). The potassium salt of croconic acid 3a was prepared by treating the potassium salt of rhodizonic acid 4a with potassium carbonate.¹⁶ A suspension of dipotassium croconate (3a) in SO₂ClF was treated with FSO₃H/SO₂ClF under vigorous stirring at -78 °C.



The ¹³C NMR spectrum (75 MHz) of the resulting yellow solution consists of a singlet at δ 174.4. The singlet indicates the equivalence of the five carbon atoms on the NMR time scale, in accord with croconic acid (3) being protonated with rapid proton exchange equilibrating all carbons under the acidic conditions. The extent of protonation in FSO₃H is, however, not clear as rapid exchange of less than fully protonated species on the NMR time scale is possible. Subsequently, 3 was also protonated with Magic Acid under similar conditions. The observed ¹³C NMR spectra showed three singlets at δ 162.5, 174.3, and 192.9 (in the ratio 2:2:1, average δ 173.3). In Magic Acid, a much stronger superacid than FSO₃H, proton exchange seems to be frozen out at -78 °C, resulting in the observation of the three signals.



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Table I. ¹³C Chemical Shifts of Poly-O-protonated Oxocarbons (in ppm from TMS)^a

basis	5b	5c	6с	6d	7a	7f	7g
DZ//3-21G		203.9	187.4		177.5	177.2 (C1) 186.7 (C2) 178.2 (C3) 148.4 (C4) 178.4 (C5) 186.6 (C6) 176.6 (C0)	172.4 (C1) 177.9 (C2) 176.1 (ave)
DZ//6-31G*	199.5 (C1) 202.7 (C3) 201.1 (ave)	199.5	186.0	150.2 (C1) 210.7 (C2) 160.7 (C3) 178.6 (ave)		173.9 (ave)	
II//6-31G*	184.1 (C1) 190.1 (C3) 187.1 (ave)	186.4	181.1	138.7 (C1) 203.0 (C2) 155.8 (C3) 171.3 (ave)			
experimental		183.4	17 4.4 ^b 173.3°		164.4 ^b 166.5 ^c		

^a ave means average of all carbon chemical shifts. ^b In FSO₃H acid medium. ^c In Magic Acid medium; average of the all carbon chemical shifts.



Figure 1. Selected parameters of optimized 5b (HF/6-31G^{*}), 6d (HF/6-31G^{*}), 7f (HF/3-21G), and 7g (HF/3-21G).

However, in both systems, the extent of protonation appears to be similar as indicated by the 13 C NMR data. To determine the extent of protonation, as well as the nature of triprotonated species, we have also carried out *ab initio*/IGLO calculations on both diprotonated as well as triprotonated structures **6a** and **6c**. The triprotonated carboxonium type structures **6b** were not considered in our calculations because of the possible large number of *syn* and *anti* isomers involved.

No energy minimum was found for the triprotonated homoaromatic structure 6a. The triprotonated planar C_{5h} structure 6c was optimized at the HF/6-31G* level, and we carried out IGLO calculations. At the $II//HF/6-31G^*$ level, the calculated ^{13}C NMR chemical shift δ 181.1 (Table I), 6.7 ppm more shielded than the experimentally observed value in FSO₃H/SO₂ClF and 7.8 ppm more shielded than the average shift observed in Magic Acid solution. We also considered diprotonated symmetrical structure **6d** (C_{2v}) for comparison. The calculated, average ¹³C NMR chemical shift of δ 171.3 at the II//HF/6-31G* level is closer to the observed experimental value. However, the calculated, individual chemical shifts do not match with those observed in Magic Acid solution (see Table I for the individual shifts). Thus, ab initio/IGLO calculations are unable to resolve the extent of protonation. However, considering the Magic Acid is at least 107-108 times stronger than FSO₃H, we are forced to conclude that triprotonation has indeed taken place in both acid systems.

Tetra-O-protonated Rhodizonic Acid (7). The 5,6-dihydroxy-5-cyclohexene-1,2,3,4-tetrone cation 7 was prepared by reacting the dipotassium salt of rhodizonic acid (4a) with Magic Acid in sulfur dioxide at -78 °C (dry ice/acetone bath). The obtained ¹³C NMR spectrum showed absorptions at δ 151.7, 163.8, 165.2, and 176.5 (1:1:2:2), indicating protonation leading to a carboxonium ion species. The average shift is $\delta(^{13}C)$ 166.5. We also prepared 7 by treating a suspension of dipotassium rhodizonate (4a) in SO₂ with a solution of FSO_3H in SO₂ under vigorous stirring at -78 °C. A dark orange solution was obtained, which is stable at this temperature for up to a month. The ¹³C NMR spectrum of this solution shows a singlet with a chemical shift of δ 164.7. We suggest that the observations are similar to those made in the case of 6 and the tetraketone is tetraprotonated in both acid systems. The possible resonance structures for cation 7 are as depicted. In this case, five structural possibilities exist which include two types of homoaromatic structures (7d and 7e).



The IGLO calculated chemical shift of the C_{6h} geometry structure 7a is $\delta(^{13}C)$ 177.0 at the DZ//HF/3-21G level, in reasonable agreement with the observed value of $\delta(^{13}C)$ 164.7. Larger basis sets for geometry optimization and IGLO calculations could not be employed for cation 7a or other low-symmetry structures because of the large number of heavy atoms involved. However, we also considered triprotonated structure 7f and



Figure 2. Selected parameters of diprotonated oxalic acid 9, oxamide 11, and tetramethoxyethene dication 13 at HF/6-31G* (MP2/6-31G* optimized parameters are given in parentheses).

 Table II.
 HF/3-21G Optimized Parameters of Poly-O-protonated

 Oxocarbons (HF/6-31G* optimized parameters)

parameter	5c (C _{4h})	6c (C _{5k})	7a (C _{6h})
C-C (Å)	1.446 (1.435)	1.452 (1.457)	1.465
C-O (Å)	1.261 (1.251)	1.250 (1.237)	1.246
0–H (Å)	0.985 (0.966)	0.999 (0.997)	1.011
C-O-H (deg)	124.8 (118.7)	129.2 (122.6)	132.7

diprotonated structure 7g at the DZ//3-21G level (other isomers of diprotonated and triprotonated forms are less stable). The calculated, average chemical shifts are $\delta(^{13}C)$ 175.9 and 176.1, respectively (see Table I for the individual shifts). However, the individual chemical shifts do not match well with the experimentally observed data in Magic Acid (Table I). Again, the *ab initio*/IGLO method is unable to unequivocally decide on the extent of protonation. On the basis of the similar behavior of the tetraketone in both FSO₃H as well as Magic Acid, it is very likely that that tetraprotonation has taken place.

Diprotonation of Oxalic Acid and Oxamide. The diprotonation of aliphatic dicarboxylic acids was studied by Olah and co-workers in $FSO_3H/SbF_5/SO_2$ solution.¹⁷ In the case of oxalic acid (8), they observed an ¹H NMR chemical shift of δ 15.7. This large

deshielding was considered to indicate diprotonation of 8. 13 C NMR spectroscopic studies of protonated mono- and dicarboxylic acid esters were also carried out in FSO₃H/SbF₅ solution.¹⁸ The diethyl ester of oxalic acid gave a well resolved 13 C NMR spectrum with a chemical shift of δ 166.5 for the carbocationic carbon. From these studies, however, it was not possible to establish the planar or perpendicular nature of the diprotonated ester.

To a suspension of oxalic acid (8) in SO₂ was added with vigorous stirring at -78 °C (using a dry ice/acetone bath) a solution of excess FSO₃H-SbF₅ (Magic Acid) in SO₂.



The 75-MHz ¹³C NMR spectrum of the solution obtained at

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Table III. Calculated Energies (in hartrees) of Substituted Ethylene Dications (relative energies in kcal/mol)

cation	HF/6-31G*// HF/6-31G*	MP2/6-31G*// HF/6-31G*	MP2/6-31G*// MP2/6-31G*
	Tetrahyroxy	ethene Dications	-
planar			
9a (C_{2h})	-376.76638(0.2)	-377.70018(0.0)	-377.70747(0.1)
9b (D _{2h})	-376.70429(39.1)	-377.63988(37.8)	
9c (C_{2v})	-376.74749(12.0)	-377.68179(11.5)	
9d (D_{2h})	-376.76666(0.0)	-377.70000(0.1)	-377.70756(0.0)
perpendicular			
9e (C ₂)	-376.75471(7.5)	-377.68843(7.4)	
9f (D_{2d})	-376.71995(29.3)	-377.65728(26.9)	
$9g(D_{2h})$	-376.76456(1.3)	-377.69608(2.6)	-377.70309(2.8)
	Diaminodihydro	xyethene Dications	
planar	·	•	
11a (C_{2h})	-337.20431(0.0)	-338.11174(0.0)	-338.11723(0.0)
11b (C_{2h})	-337.16669(23.6)	-338.07537(22.8)	
11c (C_{2v})	-337.16563(24.3)	-338.07433(23.5)	
11d (C _{2v})	-337.18479(12.3)	-338.09212(12.3)	
perpendicular			
11e (C_2)	-337.19222(7.6)	-338.09900(8.0)	-338.10433(8.1)
11f (C_2)	-337.18031(15.1)	-338.08994(13.7)	
	Tetramethoxy	ethene Dications	
planar	•		
13a (C_{2h})	-532.96892(0.0)		
perpendicular			
13b (C ₂)	-532.96610(1.8)		

Table IV.	Cationic Carbons' ¹³ C NMR Chemical Shifts (δ) of	
Substituted	Ethylene Dications (in ppm from TMS)	

	DZ//		II//		
cation	HF/ 6-31G*	MP2/ 6-31G*	HF/ 6-31G*	MP2/ 6-31G*	exptl
	Tetrahy	droxyethen	e Dications		
planar		-			
9a	172.1	185.5	160.5	172.1	163.8
9b	169.6		157.6		
9c	170.8		159.7		
9d	170.3	184.3	159.7	171.3	
perpendicular					
	177.3		164.7		
9f	182.8		166.2		
9g	172.6	185.7	162.6	173.8	
	Diaminodi	hydroxyeth	ene Dicatio	ns	
planar					
11a	164.5	173.0	158.0	165.7	157. 9
11b	165.7		158.8		
11c	165.1		158.2		
11d	166.3		158.8		
perpendicular					
11e	169.4	178.1	163.4	171.4	
11f	171.2		164.3		
	Tetrame	thoxyethen	e Dications		
planar					
13a	170.8		160.2 ^a		
perpendicular 13b	180.8		167.2ª		

^a II'//6-31G* value.

-78 °C showed only one sharp resonance at δ 163.8. We assigned the signal to the oxalic acid dication (C₂H₄O₄)²⁺ 9. We also prepared the diprotonated oxamide dication 11 by adding an excess of SbF₅/FSO₃H/SO₂ solution to a suspension of oxalic acid diamide (10) at -78 °C. The ¹³C NMR (75 MHz) of 11 in SbF₅/FSO₃H/SO₂ solution consists again of only one singlet at δ 157.9.

There are several possible planar and perpendicular geometric structures to be considered for diprotonated 9 and 11 (see Figure 2). However, on the basis of the ${}^{13}C$ NMR data alone, it is not possible to decide which of the structures 9a-g or 11a-f is preferred



under superacidic conditions. Thus, *ab initio* theoretical calculations were carried out to help resolve the question.

We have fully optimized the planar structures 9a-d and perpendicular structures 9e-g of diprotonated oxalic acid at the HF/6-31G* level. The optimized geometries can be compared to the reported¹⁰ HF/3-21G* geometries by Frenking (Figure 2). At the MP2/6-31G*//6-31G* level, planar 9a is 2.6 kcal/ mol more stable than perpendicular 9g. Frequency calculations



at the HF/6-31G*//HF/6-31G* level show that 9g is a transition state on the potential energy surface as it contains one imaginary frequency. However, at the MP2/6-31G*//MP2/6-31G* level, planar 9d becomes the most stable isomer which is 2.8 kcal/mol more stable than perpendicular 9g (Table III).

Furthermore, we have carried out IGLO calculations on these substituted ethene dications. Using MP2/6-31G* geometry and a lower IGLO level $(DZ//MP2/6-31G^*)$, the calculated ¹³C NMR chemical shift for the planar form of diprotonated oxalic acid (9d) is δ 184.3, only 1.4 ppm shielded, in comparison with the chemical shift of perpendicular 9g (Table IV). The calculated chemical shift at a higher IGLO level (II//MP26-31G*) for 9d is $\delta(^{13}C)$ 171.3. At the same level, we found for 9g a chemical shift of $\delta(^{13}C)$ 173.8. Although no clear conclusion could be made from IGLO calculations, ab initio energy and frequency calculations favor the planar 9d form of diprotonated oxalic acid over perpendicular 9g. The stability of planar form 9d is probably due to the strong $p\pi - p\pi$ -interaction of the oxygen lone pairs to the formally empty carbon p-orbitals, which in turn indicates that the strong electronic effect overcomes the steric interactions. The ¹³C NMR chemical shifts of other isomers (9a-c, 9e, and 9f) are also calculated, and these are listed in Table IV.

Similarly, we have also investigated diprotonated oxamide 11, i.e., diaminodihydroxyethene dications. In this case, the planar form 11a is 8.1 kcal/mol more stable than the perpendicular form 11e at the MP2/6-31G*//MP2/6-31G* level (Table III). 11e was found to be a transition state in the frequency calculation at the HF/6-31G*//HF/6-31G* level. These studies are also in agreement with Frenking's theoretical studies¹⁰ at a lower level. IGLO calculations (II//MP26-31G*) of 11a showed a chemical shift of $\delta(^{13}C)$ 165.7 which matches the experimental value of $\delta(^{13}C)$ 157.9 better than the calculated value of 11e ($\delta(^{13}C)$ 171.4). The data on other less favorable isomers are listed in Table IV.

We also studied the methylation of dimethyl oxalate (12). Attempts to prepare the tetramethoxyethene dication 13 by adding 12 to a solution of $CH_3F/SbF_5/SO_2$ at -78 °C led to monomethylation. The ¹³C NMR (75 MHz) spectrum in $SbF_5/CH_3F/$

SO₂ solution consists of five singlets at δ 57.2, 61.2, 65.5, 152.2, and 169.7, indicating only mono-O-methylation to 14.



We have calculated the two possible isomers of dication 13 at the $HF/6-31G^*//6-31G^*$ level and found the planar form to be more stable than the perpendicular form by only 1.8 kcal/mol. The IGLO value at II'//HF/6-31G* for the planar structure of 13 showed a chemical shift of $\delta(^{13}C)$ 160.2. A chemical shift of δ ⁽¹³C) 167.2 was calculated for the perpendicular structure of 13 at the same level.

The tetramethoxyethene dication, however, may become accessible by two-electron oxidation of tetramethoxyethene. Tetrakis(dimethylamino)ethene, an electron-rich olefin, has been readily oxidized to the corresponding ethene dication,¹⁹ and, as mentioned earlier, these dication salts have been investigated by X-ray crystallography.⁸

Conclusions

Experimental ¹³C NMR and *ab initio*/IGLO study indicate that poly-O-protonated squaric acid has a preferred symmetrical, planar aromatic structure. On the other hand, polyprotonated croconic and rhodizonic acids have carboxonium type structures. No indication was obtained for any significant contributing homoaromatic structures. Similar investigation of diprotonated oxalic acid and oxamide supports their planar ethene dication structures 9d and 11a. The main reason for the planar conformation appears to be conjugative nonbonded electron-pair backdonation to the cationic center ($p\pi$ - $p\pi$ -interaction) from the hydroxy or the amino group.

Experimental Section

Squaric acid, dipotassium salt of rhodizonic acid, oxalic acid, and all derivatives were commercially available (Aldrich). They were used without further purification. Dipotassium croconate (3a) was prepared by the literature method.16

General Procedure for the Preparation of Cations. The corresponding substance (0.8 mmol) was placed in an NMR tube, and 0.87 g (8.7 mmol) of FSO₃H dissolved in SO₂ at -78 °C was added with vigorous vortex stirring at -78 °C.

For studies in Magic Acid, to the above described mixture at -78 °C with vigorous vortex stirring was added 0.30 g (1.4 mmol) of SbF5 dissolved in SO₂ at -78 °C.

The resultant mixtures were directly analyzed by ¹³C NMR. In indicated cases, SO₂ClF instead of SO₂ was used as a solvent.

Methylation of Dimethyl Oxalate (12). Dimethyl oxalate, 0.8 mmol, was placed in an NMR tube, and a mixture of CH₃F/SbF₅ dissolved in SO₂ at -78 °C was added rapidly with vigorous vortex stirring. The resultant mixture was directly studied by NMR.

Calculational Method, Basis Set, and Geometry. IGLO calculations were performed according to Schindler's reported method.¹¹ Huzinaga²⁰ Gaussian lobes were used for the three different basis sets as follows. Basis DZ: C, O, or N, 7s 3p contracted to [4111, 21]; H, 3s contracted to [21]. Basis II: C, O, or N, 9s 5p 1d contracted to [51111, 2111, 1]; d exponent, 1.0; H, 5s 1p contracted to [311, 1]; p exponent, 0.70. Basis II': C, O, or N, same as basis II; H, same as basis DZ.

Geometries of the cations were optimized by using Spartan²¹ and GAUSSIAN9022 programs on IBM RISC/6000 Model 560 and Alliant FX/40 computers, respectively.

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